

# Atomic Layer Deposition of SiO<sub>2</sub> Films on BN Particles Using Sequential Surface Reactions

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Alternating SiCl<sub>4</sub> and H<sub>2</sub>O exposures were used to deposit SiO<sub>2</sub> films with atomic layer control on BN particles. The high surface area of the BN particles facilitated the use of transmission Fourier transform infrared (FTIR) spectroscopy to monitor the sequential surface reactions. The BN particles initially displayed vibrational modes consistent with BOH\* and BNH<sub>2</sub>\* surface species. SiCl<sub>4</sub> exposure at 700 K converted these species to SiCl<sub>x</sub>\* surface species. The subsequent H<sub>2</sub>O exposure at 700 K converted the SiCl<sub>x</sub>\* species to SiOH\* surface species. Alternate exposures of SiCl<sub>4</sub> and H<sub>2</sub>O yielded SiCl<sub>x</sub>\* and SiOH\* species, respectively, sequentially depositing silicon and oxygen with atomic layer control. By repeating the sequential surface reactions, the absorbance of SiO<sub>2</sub> bulk vibrational modes on the BN particles increased versus the number of SiCl<sub>4</sub> and H<sub>2</sub>O reaction cycles. Transmission electron microscopy studies revealed fairly uniform SiO<sub>2</sub> films of ~28–38 Å on the edge planes of the BN particles after 32 reaction cycles at 700 K. SiO<sub>2</sub> films on the basal planes of the BN particles were thinner and occurred in patches. X-ray photoelectron spectroscopy analysis was consistent with some uncoated regions on the BN particles. These ultrathin SiO<sub>2</sub> films on BN particles may be useful to enhance the loading of BN particles in composite materials.

## I. Introduction

Boron nitride (BN) has a very high thermal conductivity of ~400 W/mK at 300 K.<sup>1</sup> This high thermal conductivity makes the addition of BN particles in composite materials desirable for thermal management applications.<sup>2–4</sup> However, the loading of BN particles in composites is limited by the inertness of the BN surface.<sup>5,6</sup> Ultrathin films can alter the chemical nature of the BN surface without adversely affecting the thermal conductivity of the BN particles. SiO<sub>2</sub> is a particularly attractive coating material because coupling agents have been developed for SiO<sub>2</sub> particles and the epoxy matrix of composite materials.<sup>7</sup> The SiO<sub>2</sub> film should be thin to minimize the effect of the oxide coating on the thermal conductivity of the BN particles.

Wet chemical processing and chemical vapor deposition techniques cannot easily control the deposition of ultrathin films on particles.<sup>8</sup> Uneven coatings often result because of limited conductance through convoluted pathways in particle beds.<sup>9</sup> Chemical vapor depo-

sition can also cause particle agglomeration unless the particle bed is effectively agitated or fluidized.<sup>10</sup> In contrast, atomic layer deposition (ALD) is an ideal technique for depositing ultrathin films with precise thickness control and high conformality. Techniques have been developed for the deposition of SiO<sub>2</sub> using sequential surface reactions.<sup>11–15</sup> The virtue of this approach is that self-limiting surface reactions control the deposition at the atomic level.<sup>16</sup> Consequently, uniform and conformal deposition will occur on high aspect ratio porous structures<sup>17</sup> or particle beds.

Recent studies of SiO<sub>2</sub> ALD have demonstrated the growth of ultrathin films using sequential surface reactions.<sup>12–15</sup> This approach is based on a chemical vapor deposition (CVD) reaction for SiO<sub>2</sub>.<sup>18</sup>



SiO<sub>2</sub> ALD is achieved by dividing this binary reaction

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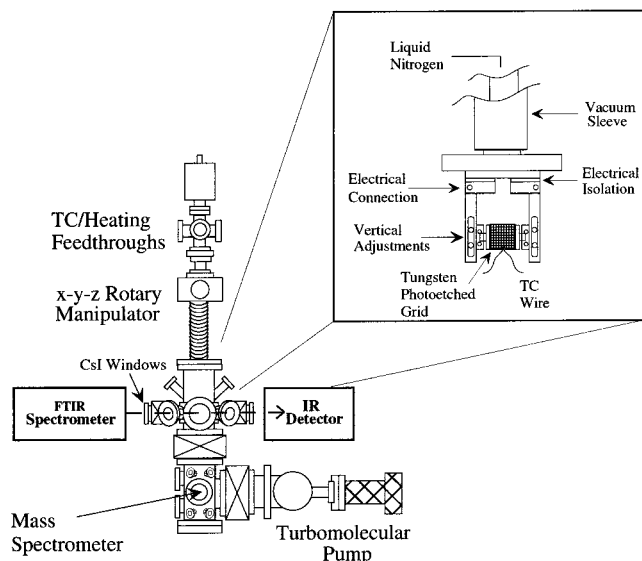
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**Figure 1.** Schematic of the vacuum chamber and sample mount used for transmission FTIR studies of SiO<sub>2</sub> ALD on BN particles.

into two separate SiCl<sub>4</sub> and H<sub>2</sub>O reactions:<sup>11, 12</sup>



where \* indicates a surface species. Each of the surface reactions is observed to be self-limiting. Sequential exposure to SiCl<sub>4</sub> and H<sub>2</sub>O can deposit  $\sim 0.9 \text{ \AA}$  per AB cycle at 700 K or 2.1  $\text{\AA}$  per AB cycle at room temperature using a Lewis base catalyst such as pyridine or NH<sub>3</sub>.<sup>12–15</sup> Atomic force microscope (AFM) images show that the deposited SiO<sub>2</sub> films are very smooth with a roughness nearly identical to the roughness of the initial substrate.<sup>12,13</sup>

In this paper, SiO<sub>2</sub> films are deposited on BN particles using alternating exposures of SiCl<sub>4</sub> and H<sub>2</sub>O at 700 K. The surface chemistry during the SiCl<sub>4</sub> and H<sub>2</sub>O exposures is monitored with transmission Fourier transform infrared (FTIR) spectroscopy. Additionally, the FTIR spectra reveal SiO<sub>2</sub> bulk vibrational modes that grow versus the number of AB reaction cycles. The SiO<sub>2</sub> films on the BN particles after 32 AB reaction cycles are then analyzed using transmission electron microscopy (TEM) and X-ray photoelectron spectroscopy (XPS). These FTIR, TEM, and XPS studies all reveal that the SiO<sub>2</sub> films can be grown on the BN particles using sequential surface reactions.

## II. Experimental Section

A vacuum apparatus designed for in situ transmission FTIR vibrational spectroscopy studies was used to deposit SiO<sub>2</sub> on the BN particles.<sup>19</sup> FTIR spectroscopy provides an effective way to monitor the surface chemistry occurring during SiO<sub>2</sub> ALD. A schematic of this apparatus is shown in Figure 1. The vacuum apparatus is comprised of two chambers separated by a gate valve. The FTIR studies were conducted in the upper chamber. This chamber is equipped with a Baratron capacitance manometer and several leak valves for controlling the

reactant exposures. The lower chamber was maintained at high vacuum by a 200 L s<sup>-1</sup> turbomolecular pump. This chamber contained an ion gauge and a Dycor quadrupole mass spectrometer.

Samples with a high surface area are necessary for transmission FTIR spectroscopy studies. HCV Grade BN particles from Advanced Ceramics Corp. provided a sufficient surface area for the FTIR studies. These particles are  $\sim 10 \mu\text{m}$  agglomerates composed primarily of  $\sim 0.1\text{--}0.5 \mu\text{m}$  turbostratic BN crystals with a total surface area of  $\sim 40 \text{ m}^2/\text{g}$ .<sup>20</sup> Turbostratic and hexagonal BN crystals are both composed of graphitic layers. Turbostratic BN is composed of randomly stacked graphitic layers. In contrast, the graphitic layers of hexagonal BN are ordered with B and N on top of each other in adjacent layers.<sup>21</sup>

A tungsten photoetched grid from Buckbee Mears in St. Paul, MN, was used as a support for the BN particles. This tungsten grid had dimensions of 2 cm  $\times$  3 cm, a thickness of 0.002 in., and a grid spacing of 100 lines per inch. Polished stainless steel dies and a manual press were used to press the BN particles into the tungsten grid.<sup>22</sup> A tantalum foil was spot-welded to each side of the tungsten grid to provide electrical contact and facilitate resistive heating. This sample was then suspended between two copper clamps on the sample manipulator as shown in Figure 1. A Chromel–Alumel thermocouple was attached to the center of the tungsten grid using Aremco (Type 571) ceramic adhesive. This thermocouple provided accurate monitoring of the sample temperature.

An *x-y-z* rotary manipulator equipped with a liquid N<sub>2</sub> cryostat held the sample mount containing the tungsten grid. The *x-y-z* adjustment capabilities of the manipulator were used to align the BN sample in the infrared beam. The manipulator also contained current and thermocouple feedthroughs for sample heating and temperature regulation. Sample temperatures could be achieved and maintained from 300 to 1100 K without the use of liquid N<sub>2</sub> in the cryostat. The sample was held at 700 K during all of the SiCl<sub>4</sub> and H<sub>2</sub>O exposures.

For low-pressure exposures, reactant gases were introduced into the upper chamber while the gate valve was open between the upper and lower chambers. The ion gauge was used to measure these reactant pressures ranging from  $1 \times 10^{-4}$  to  $1 \times 10^{-3}$  Torr. A second gate valve between the lower chamber and the turbomolecular pump could be throttled to adjust the pumping speed. The gate valve between the upper and lower chambers was closed for higher reactant pressures. These static pressures ranged from 0.01 to 10 Torr and were measured using the Baratron capacitance manometer.

Following the higher pressure exposures, a liquid N<sub>2</sub> trap backed by a mechanical pump was used to evacuate the upper chamber. The gate valves to the lower chamber and turbomolecular pump were then opened and this further reduced the chamber pressure. This pumping sequence yielded pressures less than  $2.0 \times 10^{-6}$  Torr between SiCl<sub>4</sub> and H<sub>2</sub>O exposures. Pressures this low were needed to minimize the possibility of SiO<sub>2</sub> CVD on the BN particles.

The vibrational spectroscopic data was obtained using a Nicolet Magna 560 Fourier transform infrared (FTIR) spectrometer with an MCT-B infrared detector. The infrared beam passed through two 13 mm thick CsI windows on the upper chamber. Gate valves isolated the CsI windows from the chamber during reactant exposures to prevent deposition on the windows. All of the spectra in this study were recorded at a sample temperature of 350 K after evacuation of the chamber.

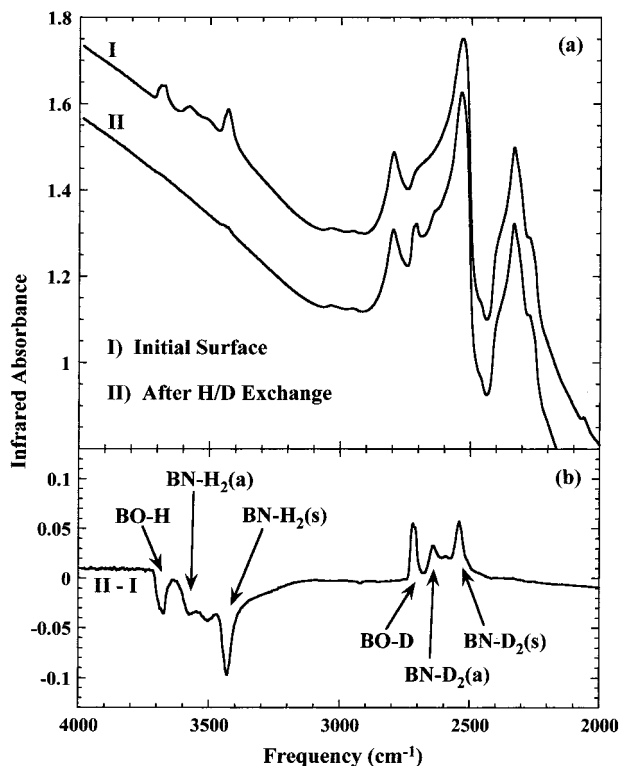
Transmission electron microscopy (TEM) and X-ray photoelectron spectroscopy (XPS) data were obtained at the Center for Micro-Engineered Materials at the University of New Mexico by Dr. Paolina Atanassova. An HRTEM JEOL 2010

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**Figure 2.** (a) FTIR spectra of the BN particles before (I) and after (II) D<sub>2</sub>O exposure at 700 K. (b) FTIR difference spectrum II - I showing the result of H/D exchange.

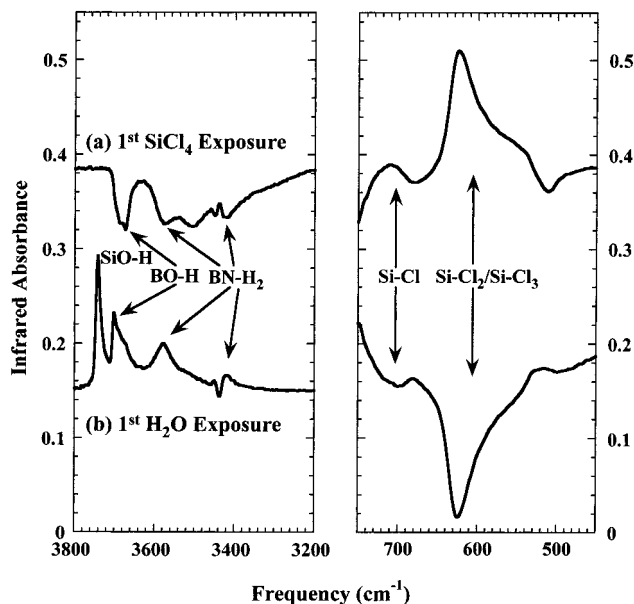
high-resolution transmission electron microscope was used to obtain the TEM images. These TEM measurements used electron dispersive spectroscopy and a GATAN digital micrograph with a slow scan CCD camera. The TEM analysis monitored the thickness and morphology of the SiO<sub>2</sub> coating on the crystalline BN particles.

An AXIS HSi Kratos Analytical XPS spectrometer was used to obtain the X-ray photoelectron spectra. The XPS chamber had a base pressure of  $1 \times 10^{-9}$  Torr. The XPS data were obtained working in  $E = \text{constant}$  mode at a pass energy of 80 eV using an Al anode (1486.7 eV energy at 15 kV, 15 mA) in a slot mode. A survey spectrum and high-resolution spectra were obtained for the energy regions of Si 2p, O 1s, B 1s, and N 1s. Depth-profiling studies of the SiO<sub>2</sub> films were obtained by Ar<sup>+</sup> ion sputtering at an estimated etch rate of 1.5 nm/min.

### III. Results

Transmission FTIR spectroscopy was used to analyze the initial surface of the BN particles. After the sample was loaded in the chamber, an H<sub>2</sub>O adlayer was observed on the BN particles at 300 K. The H<sub>2</sub>O adlayer on the BN surface was removed by annealing the sample in vacuum to 700 K. H/D exchange achieved by D<sub>2</sub>O exposure at 700 K was then employed to characterize the surface functionality of the annealed BN particles. The FTIR spectra before and after the H/D exchange are shown in Figure 2.

Spectrum I in Figure 2a shows the spectrum of the BN particles before the D<sub>2</sub>O exposure. Spectrum II in Figure 2a displays the spectrum after the D<sub>2</sub>O exposure. Spectrum II has been displaced for clarity in presentation. Figure 2b shows the difference spectrum II - I. This difference spectrum shows the changes in infrared absorbance that result from H/D exchange. The H/D exchange during the D<sub>2</sub>O exposure leads to a decrease



**Figure 3.** FTIR difference spectra of the BN particles in the O-H, N-H, and Si-Cl stretching regions after (a) the first SiCl<sub>4</sub> exposure at 700 K and (b) the first H<sub>2</sub>O exposure at 700 K. The reference spectra were recorded prior to the SiCl<sub>4</sub> and H<sub>2</sub>O exposures.

in infrared absorbance for hydrogen-containing vibrational features and a concurrent increase in infrared absorbance for deuterium-containing vibrational features.

The initial BN surface functionality is revealed by the negative infrared absorbance features. The negative features correspond to BO-H stretching vibrations at 3677 cm<sup>-1</sup> and BN-H<sub>2</sub> asymmetric and symmetric stretching vibrations at 3575 and 3430 cm<sup>-1</sup>, respectively. The new surface vibrational modes resulting from H/D exchange are observed as positive infrared absorbance features. These positive vibrational features are consistent with BO-D stretching vibrations at 2715 cm<sup>-1</sup> and BN-D<sub>2</sub> asymmetric and symmetric stretching vibrations at 2643 and 2542 cm<sup>-1</sup>, respectively. The BO-H and BN-H<sub>2</sub> vibrational frequencies and their shifts following H/D exchange are in close agreement with previous studies.<sup>21,23</sup> A BN-H stretching vibration may also coincide with the BN-H<sub>2</sub> symmetric stretching vibration at 3430 cm<sup>-1</sup>.

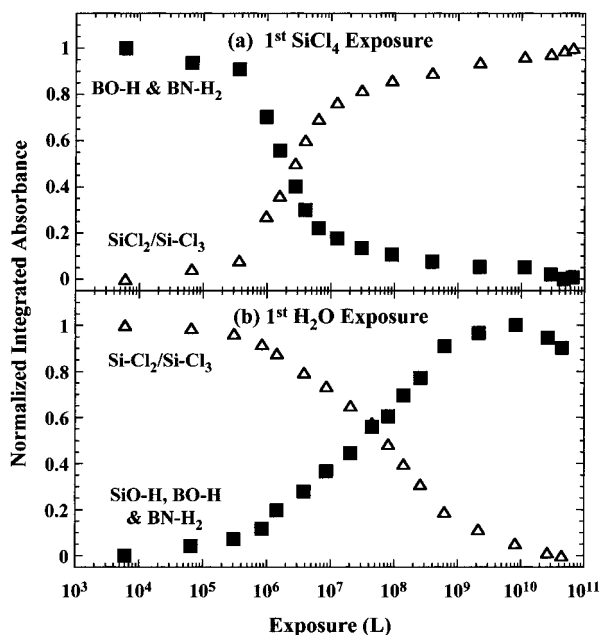
SiO<sub>2</sub> was deposited on the BN particles by sequential exposures to SiCl<sub>4</sub> and H<sub>2</sub>O. The FTIR difference spectra after the first SiCl<sub>4</sub> and H<sub>2</sub>O exposures are shown in Figure 3. Each spectrum is referenced to the spectrum prior to the exposure. These spectra are displaced from the origin for clarity in presentation. Figure 3a shows the infrared difference spectrum after the first SiCl<sub>4</sub> exposure at 700 K. The BO-H stretching vibration at 3680 cm<sup>-1</sup> and the BN-H<sub>2</sub> stretching vibrations at 3430 and 3575 cm<sup>-1</sup> are both lost and appear as negative features. The SiCl<sub>4</sub> reaction also adds a broad vibrational feature at ~625 cm<sup>-1</sup> that is assigned to Si-Cl<sub>3</sub> and/or Si-Cl<sub>2</sub> stretching vibrations.<sup>24,25</sup> An additional

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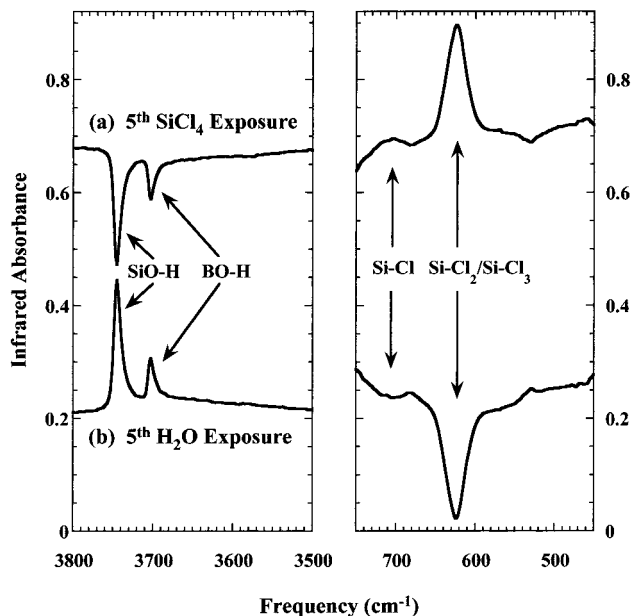
**Figure 4.** Normalized integrated absorbance of the BO–H and BN–H<sub>2</sub> stretching vibrations and the Si–Cl<sub>2</sub>/SiCl<sub>3</sub> stretching vibrations versus (a) SiCl<sub>4</sub> exposure and (b) H<sub>2</sub>O exposure during the first AB cycle at 700 K.

vibrational feature at 710 cm<sup>-1</sup> is observed and attributed to an Si–Cl stretching vibration.<sup>24,25</sup>

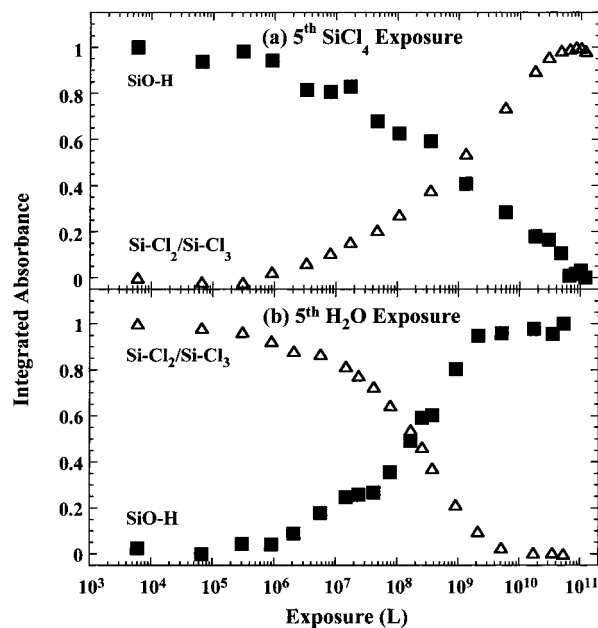
The infrared difference spectrum after the first H<sub>2</sub>O exposure at 700 K is shown in Figure 3b. The loss of the Si–Cl<sub>x</sub> stretching vibrations indicates that the H<sub>2</sub>O exposure removes all of the SiCl<sub>x</sub>\* species that were added during the previous SiCl<sub>4</sub> exposure. A new positive infrared absorbance also appears at 3742 cm<sup>-1</sup>. This new vibrational feature is attributed to an SiO–H stretching vibration and the addition of SiOH\* surface species. Accompanying the new SiO–H feature is the reappearance of BO–H and BN–H<sub>2</sub> stretching vibrations that were present on the initial BN surface.

The integrated absorbances for the BO–H, SiO–H, and Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> stretching vibrational features versus SiCl<sub>4</sub> and H<sub>2</sub>O exposure at 700 K were examined during the first and fifth AB cycles. Figure 4 displays the integrated absorbances during the first SiCl<sub>4</sub> and H<sub>2</sub>O exposures at 700 K. Figure 4a shows that an SiCl<sub>4</sub> exposure of  $\sim 1 \times 10^{10}$  L is needed for the first SiCl<sub>4</sub> reaction to reach completion. The integrated absorbance versus H<sub>2</sub>O exposure displayed in Figure 4b indicates that an H<sub>2</sub>O exposure of  $\sim 1 \times 10^{10}$  L is also required for the first H<sub>2</sub>O reaction to eliminate nearly all of the Si–Cl<sub>2</sub>\*/Si–Cl<sub>3</sub>\* species. The slight reduction in the integrated absorbance for the SiO–H, BO–H, and BN–H<sub>2</sub> vibrational features after  $>10^{10}$  L H<sub>2</sub>O exposures is associated with the conversion of SiOH\* surface species to SiO<sub>2</sub>. This conversion is observed as a slight decrease in the SiO–H stretching vibration at 3740 cm<sup>-1</sup> and an increase in the broad absorption band for bulk SiO<sub>2</sub> at  $\sim 1100$  cm<sup>-1</sup>.

Figure 5 shows the FTIR difference spectra recorded after the fifth SiCl<sub>4</sub> and H<sub>2</sub>O exposures at 700 K. The spectra are again displaced for clarity in presentation. Following the SiCl<sub>4</sub> exposure, Figure 5a shows that the SiO–H and BO–H stretching vibrations are lost as SiCl<sub>x</sub>\* species are added to the surface. Figure 5b



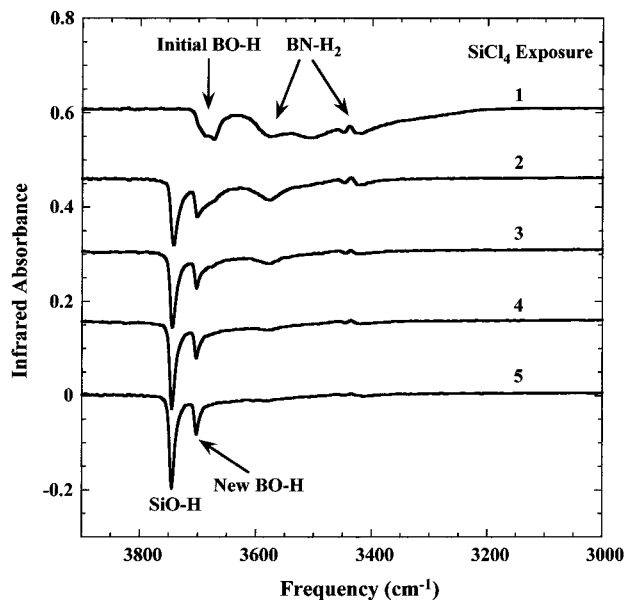
**Figure 5.** FTIR difference spectra of the BN particles in the O–H and Si–Cl stretching regions after (a) the fifth SiCl<sub>4</sub> exposure at 700 K and (b) the fifth H<sub>2</sub>O exposure at 700 K. The reference spectra were recorded prior to the SiCl<sub>4</sub> and H<sub>2</sub>O exposures.



**Figure 6.** Normalized integrated absorbance of the SiO–H stretching vibration and the Si–Cl<sub>2</sub>/SiCl<sub>3</sub> stretching vibrations versus (a) SiCl<sub>4</sub> exposure and (b) H<sub>2</sub>O exposure during the fifth AB cycle at 700 K.

reveals that subsequent H<sub>2</sub>O exposure leads to the reappearance of SiO–H and BO–H stretching vibrations and the loss of the Si–Cl<sub>x</sub> stretching vibrations. The BOH\* species during this fifth AB cycle appear at 3703 cm<sup>-1</sup>. This vibrational frequency is blue-shifted relative to the frequency of the BOH\* species on the initial BN surface at 3677 cm<sup>-1</sup>.

The integrated absorbances for the BO–H, SiO–H, and Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> stretching vibrational features versus SiCl<sub>4</sub> and H<sub>2</sub>O exposure at 700 K during the fifth AB cycle are shown in Figure 6. The results are similar to the results obtained during the first AB cycle. SiCl<sub>4</sub>



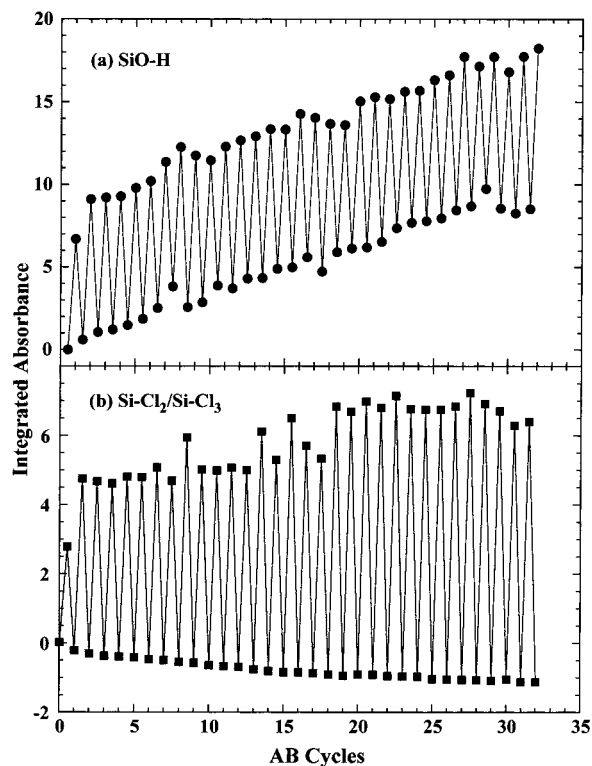
**Figure 7.** FTIR difference spectra of the BN particles in the O–H and N–H stretching regions after the first five SiCl<sub>4</sub> exposures at 700 K. Each spectrum is referenced to the spectrum taken prior to the SiCl<sub>4</sub> exposure.

exposures of  $\sim 1 \times 10^{10}$  L were needed for completion of the SiCl<sub>4</sub> reaction. H<sub>2</sub>O exposures of  $\sim 1 \times 10^{10}$  L were also sufficient for the H<sub>2</sub>O reaction to reach completion. Given these results, SiO<sub>2</sub> films were grown on the BN particles using SiCl<sub>4</sub> and H<sub>2</sub>O exposures of  $\geq 5 \times 10^{10}$  L.

The spectra of the surface hydroxyl species that react with SiCl<sub>4</sub> during the first five AB cycles at 700 K are shown in Figure 7. Most of these spectra have been displaced for ease in comparison. The difference spectrum after the first SiCl<sub>4</sub> exposure has been referenced to the spectrum of the initial BN surface. The negative absorbance features correspond to the removal of the initial BOH\* and BNH<sub>2</sub>\* species. The remaining difference spectra show the surface hydroxyl species that react with SiCl<sub>4</sub> during subsequent SiCl<sub>4</sub> exposures. These spectra are all referenced to the spectra recorded prior to the SiCl<sub>4</sub> exposures. The spectra show the evolution from BOH\* and BNH<sub>2</sub>\* species on the initial BN surface to SiOH\* species and the frequency-shifted BOH\* species at 3703 cm<sup>-1</sup> on the SiO<sub>2</sub>-coated BN surface.

The integrated absorbances of the SiO–H, Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub>, and BO–H vibrational stretching regions were monitored after every SiCl<sub>4</sub> and H<sub>2</sub>O reactant exposure at 700 K for 32 consecutive AB cycles. Figure 8 shows the integrated absorbances for the SiO–H and Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> stretching vibrations plotted versus AB cycle. The solid circles in Figure 8a show the oscillation of the SiO–H integrated absorbance versus SiCl<sub>4</sub> and H<sub>2</sub>O exposures at 700 K. The SiO–H integrated absorbance is always lower after the SiCl<sub>4</sub> exposure. The difference in integrated absorbance after SiCl<sub>4</sub> and H<sub>2</sub>O exposures remains fairly constant throughout the 32 AB cycles. The slight rise in the SiO–H integrated absorbance can be attributed to hydroxyl incorporation into the growing SiO<sub>2</sub> film.

The oscillation of the Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> integrated absorbance versus SiCl<sub>4</sub> and H<sub>2</sub>O exposures at 700 K is



**Figure 8.** Integrated absorbance of (a) the SiO–H stretching vibrations and (b) the Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> stretching vibrations versus AB cycles at 700 K.

shown by the solid squares in Figure 8b. The Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> integrated absorbance is always lower and approximately equal to zero after the H<sub>2</sub>O exposures. The changes in the Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> integrated absorbance are difficult to quantify exactly because of the complications in integrating the Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> stretching region. The Si–Cl<sub>2</sub>/Si–Cl<sub>3</sub> stretching vibrations overlap with the bulk SiO<sub>2</sub> vibrational modes that are growing versus AB cycle.

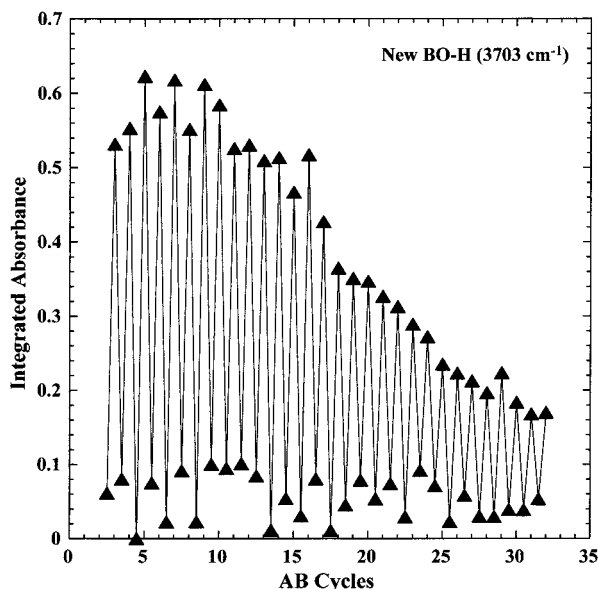
After several AB cycles, a new vibrational feature at 3703 cm<sup>-1</sup> was added and removed with sequential H<sub>2</sub>O and SiCl<sub>4</sub> exposures at 700 K, as shown in Figures 5 and 7. This new feature is assigned to BO–H stretching vibrations that are perturbed by the deposition of SiO<sub>2</sub> on the BN particle.<sup>26,27</sup> This BO–H stretching vibration was monitored throughout the 32 AB cycles. The solid triangles in Figure 9 show the integrated absorbance of the BO–H stretching vibration at 3703 cm<sup>-1</sup> versus AB cycle after the third SiCl<sub>4</sub> exposure. The integrated absorbance increases and decreases as BOH\* species are alternately added and removed by H<sub>2</sub>O and SiCl<sub>4</sub> exposures, respectively. The intensity of the BO–H vibrational feature decreases versus AB cycle. The integrated absorbance after 32 AB cycles is only  $\sim 30\%$  of the maximum integrated absorbance observed after the fifth H<sub>2</sub>O exposure.

The growth of the SiO<sub>2</sub> film on the BN particles was also monitored in the FTIR spectra. The SiO<sub>2</sub> bulk infrared absorption modes appeared at  $\sim 450$ ,  $\sim 830$ , and  $\sim 1100$  cm<sup>-1</sup>.<sup>28</sup> The increase in the infrared absorbance

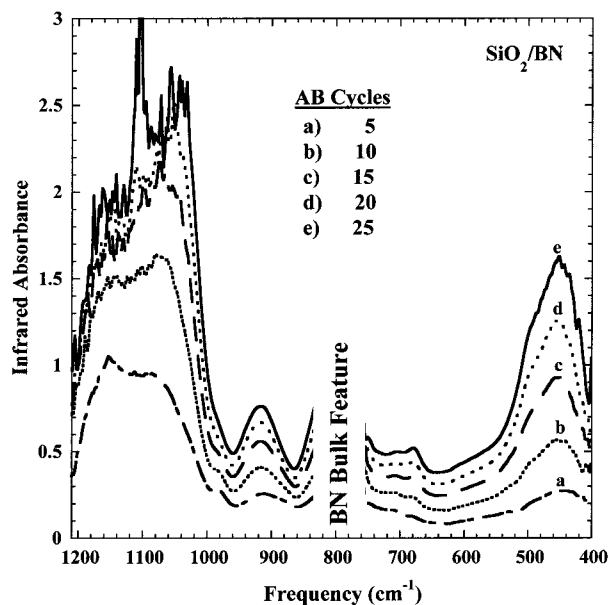
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**Figure 9.** Integrated absorbance of the new BO–H stretching vibration at 3703 cm<sup>-1</sup> versus AB cycles at 700 K.



**Figure 10.** FTIR difference spectra showing the growth of SiO<sub>2</sub> bulk vibrational modes on BN particles versus AB cycles at 700 K. All spectra are referenced to the spectrum of the BN particles before the first AB cycle.

of these vibrational modes versus the number of AB cycles at 700 K is shown in Figure 10. The absorption feature at  $\sim 800$  cm<sup>-1</sup> is associated with a strong BN bulk mode.<sup>29</sup> This absorbance is not easily subtracted in the difference spectra and leads to large fluctuations that have been removed from Figure 10.

The conformality of the SiO<sub>2</sub> coatings on the BN particles was evaluated using transmission electron microscopy (TEM). TEM images of SiO<sub>2</sub>-coated BN particles are shown in Figures 11 and 12. These SiO<sub>2</sub> films were grown using 32 SiCl<sub>4</sub>/H<sub>2</sub>O exposures at 700 K. The TEM image in Figure 10 reveals that the SiO<sub>2</sub> film is deposited on the edge plane of a crystalline BN

particle. In contrast, SiO<sub>2</sub> deposition does not occur uniformly on the basal planes. Some areas of the basal plane are coated with SiO<sub>2</sub> and other areas are void of SiO<sub>2</sub> deposition. Figure 11 shows a TEM image of another BN particle that illustrates the nonuniform deposition on the basal plane after 32 AB cycles.

X-ray photoelectron spectroscopy (XPS) measurements were also performed on the SiO<sub>2</sub>-coated BN particles. An XPS spectrum for uncoated BN particles observed photoelectrons corresponding to binding energies at 190 eV (B 1s) and 397 eV (N 1s). An XPS measurement on the BN particles after 32 SiCl<sub>4</sub>/H<sub>2</sub>O exposures observed that the intensities of the B and N photoelectrons were only partially diminished after 32 SiCl<sub>4</sub>/H<sub>2</sub>O exposures. Photoelectrons were also monitored corresponding to binding energies at 103 eV (Si 2p) and 532 eV (O 1s). These results suggest that there are open areas on the BN surface or areas covered with only a very thin SiO<sub>2</sub> film. These XPS results are consistent with the TEM images.

#### IV. Discussion

SiO<sub>2</sub> atomic layer deposition (ALD) has been studied in several previous investigations.<sup>11–15</sup> FTIR studies showed that the SiCl<sub>4</sub> and H<sub>2</sub>O half-reactions were self-limiting and went to completion at 600 K.<sup>11</sup> SiO<sub>2</sub> ALD growth rates on an Si(100) substrate were measured using ellipsometric studies.<sup>12</sup> Deposition rates of 1.1 Å/AB cycle, 0.87 Å/AB cycle, and 0.75 Å/AB cycle were measured at 600, 700, and 800 K, respectively.<sup>12</sup> In addition, the sequential surface reactions used for SiO<sub>2</sub> ALD can be catalyzed using Lewis bases such as pyridine and ammonia.<sup>13–15</sup> These Lewis bases can reduce the temperature for SiO<sub>2</sub> ALD growth to room temperature.<sup>13–15</sup>

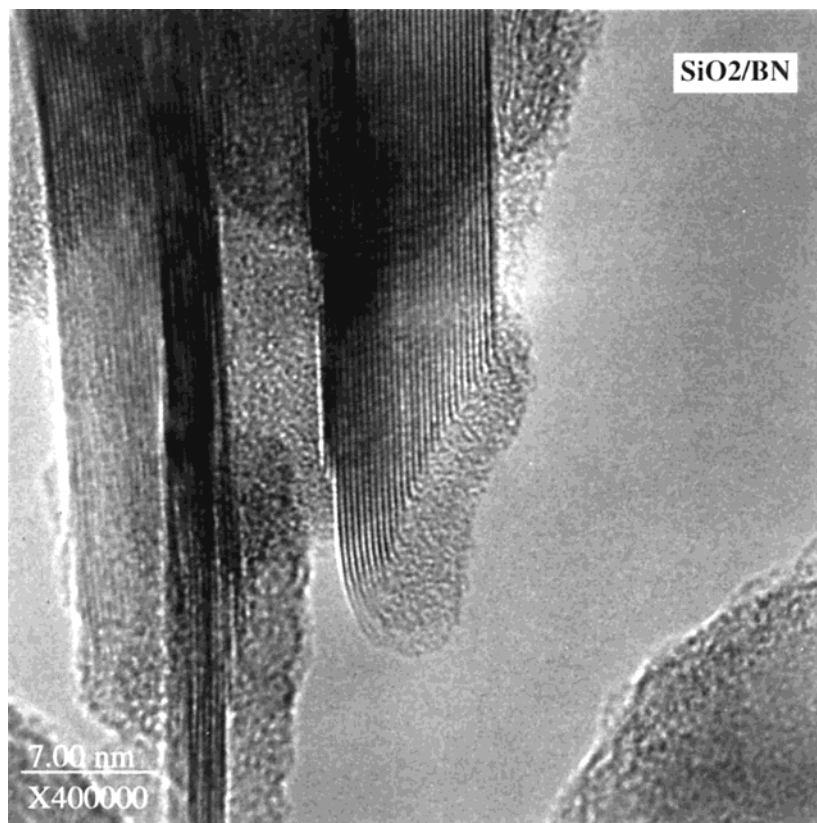
The ABAB... reaction cycles for SiO<sub>2</sub> ALD were initiated using the BOH\* and BNH<sub>2</sub>\* species on the initial BN surface. In previous studies, SiO<sub>2</sub> ALD was initiated by reacting SiCl<sub>4</sub> with SiOH\* species on a starting SiO<sub>2</sub> film on Si(100)<sup>12</sup> or porous silicon substrate.<sup>11</sup> Figure 2 demonstrates the existence of BOH\* and BNH<sub>2</sub>\* species on the initial BN surface. Figure 3a shows that SiCl<sub>4</sub> reacts with both the BOH\* and BNH<sub>2</sub>\* species at 700 K and yields new SiCl<sub>x</sub>\* species. Figure 3b reveals that the subsequent H<sub>2</sub>O exposure at 700 K removes the SiCl<sub>x</sub>\* species and adds a new SiOH\* species. The subsequent switching between SiOH\* and SiCl<sub>x</sub>\* species is observed after each sequential H<sub>2</sub>O and SiCl<sub>4</sub> exposure.

Figure 7 shows the surface species that react with SiCl<sub>4</sub> at 700 K during the first five AB cycles. BO–H and BN–H<sub>2</sub> stretching vibrational features are clearly observed as negative infrared absorbance features after the first SiCl<sub>4</sub> exposure. These negative absorbance features decrease progressively during the second, third, and fourth SiCl<sub>4</sub> exposures. The initial BO–H and BN–H<sub>2</sub> stretching vibrational features observed on the initial BN surface were not monitored after the fifth and all subsequent SiCl<sub>4</sub> exposures. The disappearance of these vibrational features argues that SiO<sub>2</sub> growth has occurred on the portion of the BN surface that originally produced these vibrational features.

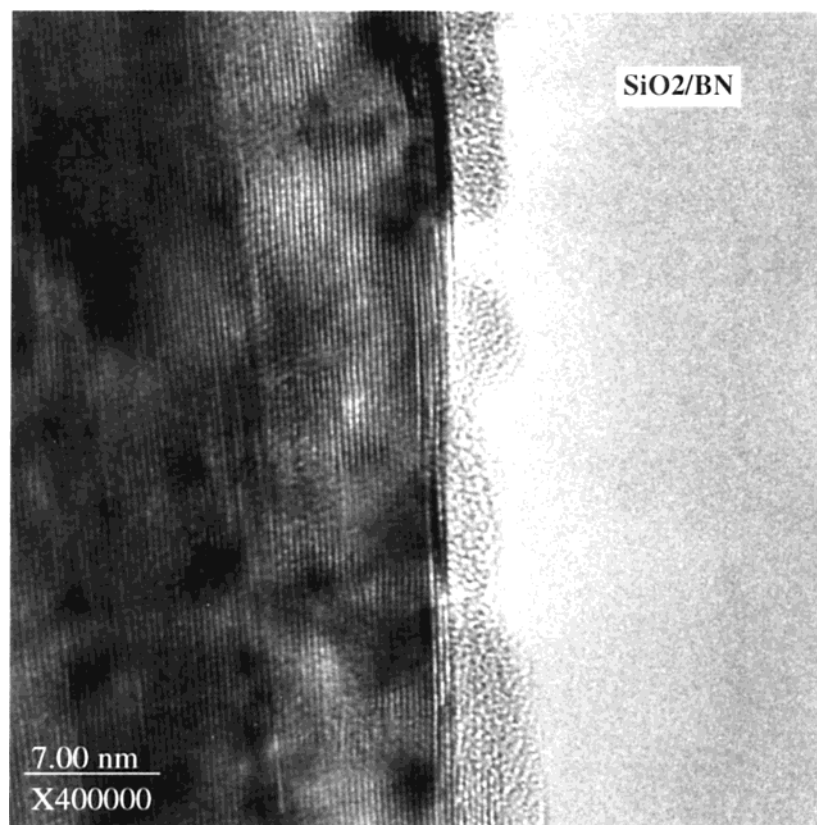
Figures 3 and 7 reveal that an SiO–H vibrational stretch appears during the first AB cycle after the H<sub>2</sub>O

(29) Geick, R.; Perry, C. H.; Rupprecht, G. *Phys. Rev.* **1966**, *146*, 543.





**Figure 11.** Transmission electron microscope image of a BN particle after 32  $\text{SiCl}_4/\text{H}_2\text{O}$  reaction cycles at 700 K. The edge plane of the BN particle is coated with an  $\text{SiO}_2$  film with a thickness of  $\sim 28\text{--}38$  Å.



**Figure 12.** Transmission electron microscope image of the basal plane of a BN particle after 32  $\text{SiCl}_4/\text{H}_2\text{O}$  reaction cycles at 700 K.

exposure at 700 K. The progressive increase in the integrated absorbance for the  $\text{SiO-H}$  stretching vibration versus AB cycles shown in Figure 8a suggests that

hydroxyls are becoming incorporated into the  $\text{SiO}_2$  film. Similar hydroxyl incorporation was observed during  $\text{Al}_2\text{O}_3$  ALD using  $\text{Al}(\text{CH}_3)_3$  and  $\text{H}_2\text{O}$  sequential expo-

tures at 450 K.<sup>30</sup> The hydroxyl incorporation after 32 AB cycles is equivalent to approximately one monolayer of SiOH\* species. On the basis of previous results for hydroxyl incorporation during Al<sub>2</sub>O<sub>3</sub> ALD,<sup>30</sup> this hydroxyl concentration in the SiO<sub>2</sub> film is expected to be removed by annealing the SiO<sub>2</sub>-coated BN particles to ~1000 K.

A new infrared absorption peak at 3703 cm<sup>-1</sup> is also observed in Figures 3 and 7 after the first H<sub>2</sub>O exposure at 700 K. This vibrational feature can be assigned to a new BOH\* species. An identical mode at 3703 cm<sup>-1</sup> is ascribed to a BO-H vibration following BCl<sub>3</sub> adsorption and subsequent hydrolysis on silica surfaces.<sup>26</sup> An equivalent vibrational frequency of 3703 cm<sup>-1</sup> is also monitored after B(OCH<sub>3</sub>)<sub>3</sub> adsorption and subsequent hydrolysis on silica surfaces.<sup>27</sup>

Figures 3 and 9 show that the new BO-H vibrational feature at 3703 cm<sup>-1</sup> grows quickly and then slowly decreases during the 32 AB cycles at 700 K. The existence of this BO-H vibrational feature suggests that portions of the BN surface are not initially reactive toward SiCl<sub>4</sub> or H<sub>2</sub>O. The new BOH\* species may be adjacent to the deposited SiO<sub>2</sub> on unreactive BN surface area during the 32 AB cycles. There may be a progressive reduction of these unreactive areas during the 32 AB cycles. Another possible explanation for these new BOH\* species is B diffusion onto the SiO<sub>2</sub> coating on the BN particle. The identical vibration frequency of 3703 cm<sup>-1</sup><sup>26,27</sup> after boron deposition on SiO<sub>2</sub> particles using BCl<sub>3</sub> or B(OCH<sub>3</sub>)<sub>3</sub> followed by hydroxylation suggests that the boron may be on the deposited SiO<sub>2</sub>.

The growth of SiO<sub>2</sub> bulk vibrational modes shown in Figure 10 demonstrates the deposition of SiO<sub>2</sub> on the BN particles. The TEM image in Figure 11 reveals that the edge plane of the BN particle has a fairly uniform SiO<sub>2</sub> coating with a thickness of ~28–38 Å after 32 AB cycles. This thickness is consistent with an SiO<sub>2</sub> deposition rate of ~0.88–1.19 Å/AB cycle at 700 K. This growth rate is in good agreement with the SiO<sub>2</sub> deposition rate of ~0.9 Å/AB cycle at 700 K measured on Si(100) wafers.<sup>12</sup>

The TEM images also reveal that the SiO<sub>2</sub> coating is not as uniform as expected for ideal atomic layer deposition. Figures 11 and 12 show that the basal planes of the BN particles are coated fairly randomly. Some of the basal plane areas are covered with an SiO<sub>2</sub> film that is comparable with the SiO<sub>2</sub> films deposited on the edge planes. Other areas of the basal planes contain less or no SiO<sub>2</sub> deposition.

The edge planes of hexagonal BN will expose dangling bonds on sp<sup>2</sup>-hybridized B and N surface atoms. These edge plane surface atoms are expected to react easily with H<sub>2</sub>O to yield BOH\* and BNH\*/BNH<sub>2</sub>\* species. Previous investigations of SiCl<sub>4</sub> reactivity with SiOH\* species during SiO<sub>2</sub> ALD suggest that BOH\* species should react with SiCl<sub>4</sub>.<sup>11,12</sup> Earlier studies of SiCl<sub>4</sub> reactivity with SiNH\* species during Si<sub>3</sub>N<sub>4</sub> ALD also suggest that BNH\*/BNH<sub>2</sub>\* species should react with SiCl<sub>4</sub>.<sup>31</sup> Consequently, the initial reactivity of SiCl<sub>4</sub> with the BOH\* and BNH\*/BNH<sub>2</sub>\* species on the edge planes

of hexagonal BN particles is anticipated and confirmed by the FTIR results shown in Figures 3 and 7.

The basal plane of hexagonal BN particles contains sp<sup>2</sup>-hybridized B and N atoms that are fairly chemically inert. Calculations of the electronic structure of the basal plane of hexagonal BN reveal that the B sites are Lewis acid sites and the N sites are Lewis base sites.<sup>32</sup> SiCl<sub>4</sub> is not expected to interact with either the Lewis acid or Lewis base sites. H<sub>2</sub>O may interact weakly with these sites because of its ability to act as both a weak acid and a weak base. Consequently, SiO<sub>2</sub> ALD on the basal plane of BN may be very difficult without the presence of BOH\* or BNH\*/BNH<sub>2</sub>\* species.

The TEM images reveal that SiO<sub>2</sub> films are deposited fairly uniformly on the edge planes of the BN particles. This observation is consistent with the expectation that these edge planes are covered with BOH\* and BNH\*/BNH<sub>2</sub>\* species. In contrast, SiO<sub>2</sub> is not deposited uniformly on the basal planes of the BN particles. The SiO<sub>2</sub> is often in patches or entirely absent from regions of the basal plane. The lack of SiO<sub>2</sub> deposition is predicted by the inertness of the basal plane. The presence of patches of SiO<sub>2</sub> is perhaps explained by SiO<sub>2</sub> deposition that may nucleate at step edges on the basal plane. These step edges may contain BOH\* and BNH\*/BNH<sub>2</sub>\* species that can react with SiCl<sub>4</sub>. Many of the TEM images tend to show SiO<sub>2</sub> deposits on the basal plane that appear to originate from a step edge.

The results for SiO<sub>2</sub> deposition on BN particles are different than the results for Al<sub>2</sub>O<sub>3</sub> deposition on BN particles.<sup>33</sup> Al<sub>2</sub>O<sub>3</sub> ALD on BN particles led to the deposition of uniform and conformal Al<sub>2</sub>O<sub>3</sub> coatings.<sup>33</sup> This difference is believed to be related to the difference between the reactants for SiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> ALD. The Al atom in the Al(CH<sub>3</sub>)<sub>3</sub> reactant employed for Al<sub>2</sub>O<sub>3</sub> ALD has six electrons in its outer electronic shell. As a result, Al(CH<sub>3</sub>)<sub>3</sub> is a Lewis acid and can readily accept an electron pair. Because the N atoms on the basal plane of the BN particles have a lone electron pair,<sup>32</sup> Al(CH<sub>3</sub>)<sub>3</sub> may accept an electron pair from the N atoms and form a N-Al(CH<sub>3</sub>)<sub>3</sub>\* species. This N-Al(CH<sub>3</sub>)<sub>3</sub>\* species may subsequently react with H<sub>2</sub>O and initiate Al<sub>2</sub>O<sub>3</sub> deposition on the basal plane.

In contrast to Al(CH<sub>3</sub>)<sub>3</sub>, the Si atom in the SiCl<sub>4</sub> reactant has eight electrons in its outer electronic shell and will not display Lewis acid or Lewis base interactions with the basal plane of the BN particles. The SiCl<sub>4</sub> may be confined to react only with the BOH\* and BNH\*/BNH<sub>2</sub>\* species on the edge planes and the step edges on the basal planes of the BN particles. This limited surface reactivity would predict unreacted BN surface area and explain the observation of BOH\* species throughout the 32 AB cycles. The reactivity of SiCl<sub>4</sub> with only BOH\* and BNH\*/BNH<sub>2</sub>\* surface species is in agreement with the TEM images that show uneven SiO<sub>2</sub> deposition on the basal plane of the BN particles. This specific reactivity of SiCl<sub>4</sub> also agrees with the XPS measurements that observe B and N XPS signals that are not completely extinguished by the SiO<sub>2</sub> deposition.

The SiO<sub>2</sub> films deposited with atomic layer control using alternating SiCl<sub>4</sub> and H<sub>2</sub>O exposures at 700 K are

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(32) Yamamura, S.; Takata, M.; Sakata, M. *J. Phys. Chem. Solids* **1997**, *58*, 177.

(33) Ferguson, J. D.; Weimer, A. W.; George, S. M. *Thin Solid Films* **2000**, *371*, 95.



not completely uniform and conformal on the BN particles. However, these ultrathin SiO<sub>2</sub> films should still be useful to increase the loading of BN particles in composite materials for thermal management applications. The patches of SiO<sub>2</sub> deposited on the edge planes and portions of the basal planes should facilitate the coupling of the BN particles to the epoxy matrix of composite materials. The deposition of SiO<sub>2</sub> only on the edge planes may also prove to be advantageous. Low SiO<sub>2</sub> deposition on the basal planes may promote the stacking of BN platelets with parallel *c*-axes. This stacking geometry may be desirable for high thermal conductivity in BN composites.

### V. Conclusions

SiO<sub>2</sub> was deposited with atomic layer control on BN particles using sequential surface reactions. Sequential exposures to SiCl<sub>4</sub> and H<sub>2</sub>O at 700 K deposited silicon and oxygen as the surface was alternately covered by SiCl<sub>x</sub>\* and SiOH\* species. FTIR spectroscopic studies monitored the surface species and bulk SiO<sub>2</sub> vibrational modes during the SiCl<sub>4</sub> and H<sub>2</sub>O exposures. The FTIR spectra observed a new BOH\* vibrational feature at

3703 cm<sup>-1</sup> that suggested that the SiO<sub>2</sub> deposition did not completely cover the BN particle. The TEM images revealed SiO<sub>2</sub> deposition on the edge planes and only uneven deposition on the basal planes of the BN particles. The XPS analysis was also consistent with nonconformal SiO<sub>2</sub> deposition. This uneven SiO<sub>2</sub> deposition is consistent with SiCl<sub>4</sub> reacting only on the initial BOH\* and BNH\*/BNH<sub>2</sub>\* species on the edge planes or defects on the basal plane of the BN particles. The SiO<sub>2</sub> deposition on BN particles should be useful to increase the loading of BN particles in composite materials.

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